Title: Exploring the feasibility of delivering standardised genomic care using ophthalmology as an exemplar

Short running title: Feasibility of standardised genomic care

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ABSTRACT

Purpose:

Broadening access to genomic testing and counselling will be necessary to realise the benefits of personalised healthcare. This study aimed to assess the feasibility of delivering a standardised genomic care model for inherited retinal dystrophy (IRD) and of using selected measures to quantify its impact on patients.

Methods:

A pre-post prospective cohort study recruited 98 patients affected by IRD to receive standardised multidisciplinary care. A checklist was used to assess the fidelity of the care process. Patient-reported outcome measures – the Genetic Counselling Outcome Scale (GCOS-24), ICEpop CAPability measure for Adults (ICECAP-A), and the EuroQol 5-dimension questionnaire (EQ-5D) – and a resource-use questionnaire were administered to investigate rates of missingness, ceiling effects, and changes over time.

Results:

The care model was delivered consistently. Higher rates of missingness were found for the genetic-specific measure (GCOS-24). Considerable ceiling effects were observed for the generic measure (EQ-5D). The ICECAP-A yielded less missing data, without significant ceiling effects. It was feasible to use telephone interviews for follow-up data collection.

Conclusion:

The study highlighted challenges and solutions associated with efforts to standardise genomic care for IRD. The study identified appropriate methods
for a future definitive study to assess the clinical and cost-effectiveness of the care model.

Key words

Clinical genetics service; Care model; Feasibility; Genomic; Outcomes

INTRODUCTION

High-throughput molecular approaches have rapidly moved from the research arena into direct clinical care and are a powerful demonstration of the implementation of biomedical research. Such approaches have enormous potential - across all aspects of medicine - to improve the effectiveness of molecular diagnosis and increase the power and potential of personalised approaches to healthcare. Demonstrable impacts on diagnostic rates and treatment have already been shown across a broad range of specialties.¹⁻⁴

In order to achieve widespread implementation of genomic care, it will be necessary to alter care pathways to incorporate early genomic testing and then expand the delivery of genetic and genomic care beyond clinical genetics and into mainstream clinical specialties.⁵⁻⁷ A recent review of genetic service models has suggested that multidisciplinary clinics and coordinated services are key to delivering proper care in rare genetic disorders.⁸ Therefore, the delivery of integrated genomic approaches will require significant alterations in multidisciplinary workforce planning and training.⁹ Furthermore, since it will inevitably impact upon commissioning and payment, there is a compelling need to establish whether new working practices are feasible, acceptable to patients and represent value-for-money.¹⁰
Inherited Retinal Dystrophies (IRD) are a major cause of blindness among children and working-age adults \textsuperscript{11,12} with one in every 3,000 people affected.\textsuperscript{13} IRD are heterogeneous in genetic cause, mode of inheritance and phenotypic expression. Currently, there is no effective way of arresting or reversing the resultant sight loss, although novel therapeutic strategies for certain forms of IRD are in development.\textsuperscript{14} There are no gold standard recommendations for how best to provide genetic ophthalmology services for IRD, which can comprise genetic counselling, risk assessment, risk communication, genetic testing, information provision and physical examination. Up to now, a lack of clear guidelines on how to deliver clinical and diagnostic services for IRD has resulted in variation in practice across the UK.\textsuperscript{5,15} Approved genetic-based diagnostic tests for IRD have been nationally available for over ten years, but audit data provides evidence of geographical inequity of access.\textsuperscript{15} As an example of a ‘complex intervention’ (one with several interacting components)\textsuperscript{16} special challenges are raised for evaluators, including how to standardise its design and delivery.\textsuperscript{17} A standardised care model for people with suspected IRD could, in theory, enable consistency of service provision to address such variations.

A care model (see Figures 1 and 2) was developed in response to a stated need by patients with IRD and as a result of qualitative research which explored these needs \textsuperscript{18,19} using the Kellogg Logic Model Development Guide.\textsuperscript{20} The care model was delivered in multidisciplinary clinics at a single regional genetics centre by ophthalmologists (for eye examinations, diagnosis and clinical management), genetic counsellors (to provide counselling support and convey genetic information), and eye clinic liaison officers (to provide further practical and emotional support).
Care was provided in multidisciplinary clinics to ensure that:
consultations were not delayed by the need to refer elsewhere; patients
did not need to travel to meet with different specialties; and
communication between specialties was improved (as it could happen face-
to-face in the clinic).

The aim of this study was to assess the fidelity of delivering the
standardised care model and the feasibility of using selected measures to
quantify its impact on patients and healthcare resource use. The study
would inform a future definitive study to assess the clinical and cost-
effectiveness of the care model.

PATIENTS AND METHODS

This study used a pre-post design to understand the potential impact of
the standardised care model, using selected measures of outcome and
healthcare resource use.

Patient population

The eligible patient population for the study was defined as any adult
patient accessing the standardised care model in the allocated recruitment
period (22/11/2013 and 28/11/2014). This population included existing and
new users of the service. Patients were eligible for inclusion if they
were referred for a suspected IRD and if they were 18 years or older on
the date of the clinic. Participants were ineligible for inclusion if
written informed consent could not be obtained or if they were unable to
complete patient reported outcome measures (PROMs) due to learning
difficulties or insufficient English language skills. Potential study
participants were identified by a genetic counsellor as eligible for
recruitment prior to attending the appointment, were sent a study
information sheet, and then recruited by a researcher based in the
reception area of the clinic whose purpose was to obtain informed consent
and administer the PROMs before the patient consultations.

Fidelity

In a typical appointment, the patient would see an ophthalmologist, a
genetic counsellor, and an eye clinic liaison officer. A manual checklist
was attached to the front of each patient file, which followed the patient
as they moved between the different specialties. The checklist comprised
six key areas covering different elements of the consultation process:
diagnosis & management; provision of clinical information; provision of
research information; decision making; counselling & communication; and
offering practical support. Clinicians worked together to provide care in
these six key areas, which were the appointment deliverables outlined in
Figure 2. All members of the multidisciplinary team were asked to update
the checklist after each consultation with a recruited patient as a
mechanism to confirm the fidelity of delivering a standardised care model.
Clinicians were asked to record the time spent on each element in the care
model and whether or not patients were new to the service. Clinicians also
indicated, using a tick-box, whether or not the patient was provided with
a personalised follow-up plan.

Ten appointments were recorded on video and independently assessed
afterwards to judge whether clinicians adhered to the care model and how
accurately the checklist was completed. Clinicians and patients consented to being recorded and evaluated.

**Outcome measures**

Three PROMs were administered in this study: the 24-item Genetic Counselling Outcome Scale (GCOS-24), the ICEpop CAPability measure for Adults (ICECAP-A), and the three-level version of the EuroQol five-dimensional questionnaire (EQ-5D-3L). Two of the three PROMs, the GCOS-24 and the ICECAP-A, were identified as suitable by previous qualitative research.\(^{18,21,22}\)

The selection of the GCOS-24 was informed by a previous programme of work on how to value outcomes of clinical genetics services.\(^{21-23}\) This work pointed towards the need for a broader evaluative scope in assessing the benefits of clinical genetics services, which, as complex interventions, have broader objectives than only change in health status. The GCOS-24 was developed and validated to measure the patient benefits from clinical genetics services.\(^{24}\) Specifically, the 24-item scale can be used to measure changes in ‘empowerment’ levels for patients who receive genetic counselling and/or testing, and captures patient benefits conceptualised as perceptions of control, hope for the future, and emotional regulation relating to the genetic condition in the family. Responses to GCOS-24 questions are given on a Likert scale from 1 to 7 (strongly disagree to strongly agree) where 4 is a neutral response. A completed GCOS-24 questionnaire yields scores between 24 and 168, where higher scores are preferable.

The ICECAP-A was identified as a relevant measure specifically in this patient population through qualitative face-to-face interviews with
patients with IRD. The ICECAP-A was designed to measure a concept called ‘capability’ for use in economic evaluation. Its development was theoretically grounded in work by economists who argued that an important aspect of outcome measurement should focus on what people are capable of doing, as opposed to only health status. The ICECAP-A covers five domains (attachment, stability, achievement, enjoyment, and autonomy) and its UK scoring tariff can be used to convert responses to scores between 0 and 1, where 0 represents ‘no capability’ and 1 represents ‘full capability’. ICECAP-A domains have four levels, where higher levels indicate greater capability for a given domain. The ICECAP-A has exhibited desirable validity and acceptability in the general population. Qualitative work suggested that the concept of ‘autonomy’ is particularly important for people diagnosed with inherited eye conditions, which is included as a domain in the ICECAP-A measure. Measures of capability could, in theory, also capture the impact of being able to make an informed decision which has been identified as a core goal for clinical genetics services.

The EuroQol EQ-5D (3 level version) was included as it is a widely-used, validated measure of health status recommended for use to capture benefit in cost-effectiveness analysis. The EQ-5D-3L covers five domains (mobility, self-care, usual activities, pain/discomfort, and anxiety/depression) and completion of the EQ-5D yields a descriptive health state. The EQ-5D UK scoring tariff can then be used to convert health states to ‘utility’ scores between -0.594 and 1, where negative scores are considered ‘worse than death’ and 1 represents ‘full health’. Previous work has suggested that health status is unlikely to be improved by clinical genetic services where patients cannot be offered an active treatment. However, it was still considered important to include this
measure to provide empirical evidence on whether an intervention for IRD could have an impact on health status.

Resource use

A resource use questionnaire was used to elicit the services that patients accessed over the month prior to interview, and the numbers of times each of these services were accessed. The questionnaire was designed for assisted completion (at baseline) and telephone interview (at follow-up). The questionnaire was based on the Client Service Receipt Inventory (CSRI)\textsuperscript{32} and was adapted to take account of the healthcare services likely to be used by people with, or at risk of, vision impairment.

Data collection

Data were collected at baseline (defined as the day of the clinic but before the patient consultations) and at one and three months after baseline. All three PROMs and the resource use questionnaire were completed by patients in the presence of a researcher in the clinic at baseline, and then followed-up by telephone interview at one month and three months after the clinic visit. Paper questionnaires were administered face-to-face by one of the research team when the patient attended the genetics clinic, but prior to being seen by a clinician. All written materials were made available in large-print format to promote the inclusion of people with visual impairment.

Statistical analysis

The fidelity of the standardised care model was assessed by quantifying the average time spent by clinicians delivering each of the six defined elements. The feasibility of the PROMs was assessed by identifying
ceiling/floor effects and the completion rates for each questionnaire. Descriptive analyses of average PROM scores and costs at the three time points were also undertaken. Changes in PROM scores at the three-month follow-up were calculated with 95% confidence intervals and standard errors to enable power calculations for a future study, although some authors have cautioned against the use of pilot studies to inform power calculations. All statistical output was produced using Stata (V.13.1, StataCorp, College Station, Texas, USA).

A ceiling effect is observed when a considerable proportion of subjects respond with the highest possible score for a given measure. A floor effect is observed when a considerable proportion of subjects respond with the lowest possible score for a given measure. Ceiling/floor effects mean that the measure is unable to show improvements/declines in patient outcomes at the extremes of the measure’s scale. We looked at the proportion of responses with the lowest and highest possible scores for each measure. We compared these proportions to a commonly used threshold (15% of responses) to confirm or deny the presence of ceiling/floor effects.

Each PROM was analysed in accordance with standard practice for the individual measure. GCOS-24 questions that were marked as not applicable (NA) were recoded to the neutral response (4), as per the instructions at the top of the questionnaire. To ensure that 7 indicated the best scenario and 1 indicated the worst, responses to questions 4, 5, 10-13, 17, 18, 21 and 22 were reversed. GCOS-24 scores were calculated as the sum of the responses. Each ICECAP-A response has a corresponding value in a published UK tariff and ICECAP-A scores were generated by the summation of these
values. For the EQ-5D, each individual was assigned a score of 1, and then the UK tariff set of decrements were applied for domains where respondents indicated they had problems.

The appropriate study population may not include patients who already had some history of care from the genetic eye clinic at baseline. Therefore, our analysis of PROM scores considered all patients collectively, as well as a pre-defined sub-group analysis of patients who were new to the service at baseline.

Average PROM scores were calculated using both complete-case (CC) analysis and multiple imputation (MI). CC analysis only includes patients with complete data at all time points for a given PROM. MI is a technique to impute missing data and is widely advocated as an improvement over CC analysis, as it makes use of available data which would otherwise be discarded and is considered to be less biased when data is missing at random. Mann-Whitney U tests confirmed that baseline PROM scores did not significantly differ between patients who had missing data at follow-ups and those who did not. MI was conducted in order to reflect the methods which would be used in the future study analysis. For imputation, PROM scores at each follow-up were modelled by linear regressions with the following variables: baseline score (for the respective measure), age, sex, and travel time to clinic. To impute missing GCOS-24 scores at baseline, the baseline score was not used as an independent variable in the regression. The number of imputations were sufficient if they were greater than 100 * the largest fraction of missing information (FMI), an accepted ‘rule of thumb’ for multiple imputation. Final estimates were the means
of the imputed datasets. Rubin’s rules were applied to correct the measures of uncertainty.

Aggregated resource use data were combined with unit costs to find average resource use at each time point. Unit costs for NHS services were obtained from published NHS reference costs and the Personal Social Services Research Unit (PSSRU) unit costs of health and social care.

RESULTS

Patient characteristics

104 potential study participants were approached at baseline, of which 6 patients chose not to participate because they did not wish to complete questionnaires. A total of 98 patients received the standardised care model and consented to participation in the study. The mean age was 43.6 years, and 58 women and 40 men were recruited. At baseline, 46 patients were classified as ‘new patients’ accessing the service for the first time. Baseline patient characteristics and data pertaining to the feasibility of using each PROM are presented in Table 1.
Table 1 Patient characteristics and feasibility information for PROMs (n = 98)

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>1 month</th>
<th>3 months</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Patient characteristics</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age, mean</td>
<td>43.6</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Female</td>
<td>59 (60)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>New patients</td>
<td>46 (47)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Missing PROMs data</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24</td>
<td>12 (12)</td>
<td>37 (38)</td>
<td>33 (34)</td>
</tr>
<tr>
<td>ICECAP-A</td>
<td>0 (0)</td>
<td>32 (33)</td>
<td>33 (34)</td>
</tr>
<tr>
<td>EQ-5D</td>
<td>0 (0)</td>
<td>32 (33)</td>
<td>33 (34)</td>
</tr>
<tr>
<td><strong>Responses at highest possible score</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24</td>
<td>0 (0)</td>
<td>0 (0)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>ICECAP-A</td>
<td>14 (14)</td>
<td>7 (11)</td>
<td>9 (13)</td>
</tr>
<tr>
<td>EQ-5D</td>
<td>33 (34)</td>
<td>15 (23)</td>
<td>20 (31)</td>
</tr>
<tr>
<td><strong>Responses at lowest possible score</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24</td>
<td>0 (0)</td>
<td>0 (0)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>ICECAP-A</td>
<td>0 (0)</td>
<td>0 (0)</td>
<td>0 (0)</td>
</tr>
<tr>
<td>EQ-5D</td>
<td>0 (0)</td>
<td>0 (0)</td>
<td>0 (0)</td>
</tr>
</tbody>
</table>

Data are n (%) unless otherwise specified.
### Table 2 Changes in average PROM scores (n = 98)

<table>
<thead>
<tr>
<th></th>
<th>Baseline</th>
<th>1 month</th>
<th>3 months</th>
<th>Change from baseline</th>
<th>95% confidence interval</th>
<th>Standard error</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Complete cases - all patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24(^a) (n = 44)</td>
<td>109.5</td>
<td>112.9</td>
<td>115.2</td>
<td>5.7</td>
<td>2.2; 9.3</td>
<td>1.8</td>
</tr>
<tr>
<td>ICECAP-A(^b) (n = 51)</td>
<td>0.827</td>
<td>0.779</td>
<td>0.808</td>
<td>-0.018</td>
<td>-0.050; 0.013</td>
<td>0.016</td>
</tr>
<tr>
<td>EQ-5D(^c) (n = 51)</td>
<td>0.747</td>
<td>0.744</td>
<td>0.794</td>
<td>0.046</td>
<td>-0.009; 0.102</td>
<td>0.028</td>
</tr>
<tr>
<td><strong>Multiple imputation - all patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24 (n = 98)</td>
<td>107.2</td>
<td>112.3</td>
<td>112.4</td>
<td>5.1</td>
<td>1.4; 8.9</td>
<td>1.9</td>
</tr>
<tr>
<td>ICECAP-A (n = 98)</td>
<td>0.816</td>
<td>0.794</td>
<td>0.803</td>
<td>-0.012</td>
<td>-0.040; 0.016</td>
<td>0.014</td>
</tr>
<tr>
<td>EQ-5D (n = 98)</td>
<td>0.778</td>
<td>0.776</td>
<td>0.810</td>
<td>0.032</td>
<td>-0.012; 0.076</td>
<td>0.022</td>
</tr>
<tr>
<td><strong>Complete cases - new patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24 (n = 17)</td>
<td>109.5</td>
<td>110.0</td>
<td>115.2</td>
<td>5.7</td>
<td>-0.3; 11.8</td>
<td>2.9</td>
</tr>
<tr>
<td>ICECAP-A (n = 20)</td>
<td>0.802</td>
<td>0.782</td>
<td>0.811</td>
<td>0.009</td>
<td>-0.022; 0.040</td>
<td>0.015</td>
</tr>
<tr>
<td>EQ-5D (n = 20)</td>
<td>0.784</td>
<td>0.825</td>
<td>0.825</td>
<td>0.040</td>
<td>-0.033; 0.114</td>
<td>0.035</td>
</tr>
<tr>
<td><strong>Multiple imputation - new patients</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>GCOS-24 (n = 46)</td>
<td>105.8</td>
<td>109.9</td>
<td>111.6</td>
<td>5.9</td>
<td>0.5; 11.1</td>
<td>2.6</td>
</tr>
<tr>
<td>ICECAP-A (n = 46)</td>
<td>0.820</td>
<td>0.813</td>
<td>0.822</td>
<td>0.002</td>
<td>-0.036; 0.041</td>
<td>0.018</td>
</tr>
<tr>
<td>EQ-5D (n = 46)</td>
<td>0.815</td>
<td>0.829</td>
<td>0.845</td>
<td>0.030</td>
<td>-0.039; 0.101</td>
<td>0.030</td>
</tr>
</tbody>
</table>

\(^a\)GCOS-24 feasible range: 24 to 168; \(^b\)ICECAP-A feasible range: 0 to 1; \(^c\)EQ-5D feasible range: -0.594 to 1. Higher scores preferable.
**Patient reported outcomes**

To assess the feasibility of using each PROM to quantify the impact of the care model, we explored rates of missingness, ceiling/floor effects, and changes in PROM scores over time.

Table 1 shows that the rates of missingness at 1 and 3 months were 38% and 34% respectively for the GCOS-24. GCOS-24 data was also missing for 12 patients at baseline because patients did not complete at least one question. Some patients stated that GCOS-24 items were ‘not applicable’ (NA) to them. To facilitate analysis these items were recoded to the neutral response to comply with the instructions of the questionnaire. Table S1 shows how many GCOS-24 questions were considered as NA by patients. Questions were often marked as NA if they related to the impact on the patient’s children or future children (Q3, Q13, Q17, Q19, Q21 and Q24). Other questions that were NA related to knowledge about available options, and the ability to explain one’s condition to others and at risk family members (Q10, Q15, Q16 and Q18).

The rates of missingness for the ICECAP-A and EQ-5D were equal, and were 33% and 34% at 1 and 3 months respectively. There were no commonly missed items in the ICECAP-A or EQ-5D, as the questionnaires were either fully completed or not at all for these measures. Data were missing in these measures because patients were either not contactable or did not want to complete PROMs at a given follow-up.

No respondents gave the highest possible score for the GCOS-24, and no respondents reported the lowest possible score for any of the three measures.
The ceiling effect threshold of 15% was not met for the ICECAP-A at any time-point, however there were still considerable amounts of responses with the highest possible score (14% at baseline, 11% at 1 month, and 13% at 3 months).

The proportion of EQ-5D responses at the highest possible score exceeded the specified threshold to confirm the presence of ceiling effects at all three time points (34% at baseline, 23% at 1 month, and 31% at 3 months). This meant that the EQ-5D was unable to detect potential improvements in health status from baseline for 34% of the sample.

While ceiling effects in a measure indicate that an individual’s responses to every domain were simultaneously at the highest scoring level, it was also of interest to investigate which domains were most commonly scored at the highest level by respondents. Further investigation found that the EQ-5D domain to which respondents most frequently indicated having no problems was ‘self-care’ (80% of respondents at baseline, complete case). Similarly the ICECAP-A domain to which respondents most frequently indicated having the highest capability was ‘attachment’ (64% of respondents at baseline, complete case), which considers the individual’s ability to have love, friendship, and support.

Table 2 presents average PROM scores at each time point for all patients (n = 98) and new patients (n = 46), as results of complete case (CC) and multiple imputation (MI) analysis. The study was inadequately powered to conclude, using measures of statistical significance, that the scores of the measures had improved by the 3 month follow-up. However, a trend towards improvement was seen for all three measures. The distributions of PROMs at all time-points are provided in Figure S1.
Fidelity

76 patient checklists were completed, which represented 78% of the total patient sample. Follow-up plans were recorded for 59 patients (78% of completed checklists). Table 3 shows the time healthcare professionals spent delivering the service. Average times are reported as medians with interquartile ranges to account for the skewed nature of the data. Discussion points in the care model were not always addressed, although clinicians were permitted to be flexible in tailoring discussions to the needs of the patient. All elements were used across the consultations, and it was demonstrated that the entire range could be delivered by a team of professionals within a single consultation.
<table>
<thead>
<tr>
<th>Discussion point</th>
<th>Median time spent on discussion (minutes)</th>
<th>Interquartile range</th>
<th>Discussion point addressed, n (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Diagnosis &amp; management</td>
<td>10</td>
<td>10; 18</td>
<td>73 (96)</td>
</tr>
<tr>
<td>Clinical information</td>
<td>10</td>
<td>5; 10</td>
<td>69 (91)</td>
</tr>
<tr>
<td>Research information</td>
<td>2</td>
<td>0; 5</td>
<td>42 (55)</td>
</tr>
<tr>
<td>Decision making</td>
<td>5</td>
<td>0; 10</td>
<td>51 (67)</td>
</tr>
<tr>
<td>Counselling &amp; communication</td>
<td>5</td>
<td>0; 5</td>
<td>49 (64)</td>
</tr>
<tr>
<td>Practical support</td>
<td>2</td>
<td>0; 5</td>
<td>47 (62)</td>
</tr>
</tbody>
</table>
Videos of appointments (n = 10) showed that clinicians adhered to the care model and accurately recorded what was delivered on the checklist.

**Resource use**

Table S2 shows the types of resources used, consistent with taking an NHS perspective, over the month prior to completion of the questionnaire. Average usage, and therefore average cost, of accessing community and hospital-based NHS services fell for patients affected by IRD after receiving the care model. A complete list of non-NHS services accessed by patients is provided in Table S3.

**DISCUSSION**

The delivery of genomic counselling and testing within routine mainstream clinical care represents a considerable challenge. This study has assessed the fidelity of delivering a standardised care model for patients with IRD and the feasibility of using the selected PROMs and resource use questionnaire to quantify its impact. A checklist that asked clinicians to capture the elements of the standardised care model they delivered indicated that it could be delivered in a consistent way. This suggests that it is feasible to take this standardised care model forward and that it may be possible to assess its impact in a future substantive, prospective study.

The ICECAP-A was identified as a potentially useful measure of the impact of the care model. This was because the ICECAP-A had fewer missing responses than the GCOS-24 and had fewer responses with the highest possible score at baseline than the EQ-5D. While the GCOS-24 was specifically designed for use in the context of a clinical genetics
service, service, this study found that GCOS-24 completion rates were lower than the ICECAP-A and EQ-5D, and that questions involving reproductive choices and children were often considered not relevant by study participants. A study using qualitative methods would be useful to understand the reasons behind this, particularly if answering NA was used as a way to ‘opt-out’ because the questions caused concern or worry to the patient. The measure comprises 24 questions which may also have been problematic in a population of visually impaired individuals. Further research is suggested to explore whether a shortened version of the GCOS-24 would be more suited to use in the context of a trial for patients with IRD. This would require re-validation of the short form version.

As the EQ-5D displayed considerable ceiling effects, further empirical work is needed to determine whether it is suitable for use in populations with genetic eye conditions. A 5-level version of the EQ-5D has recently been developed to address criticisms regarding responsiveness and ceiling effects. The 5-level version could potentially offer improvements over the 3-level version used in this study. One benefit of the EQ-5D is that, due to its generic nature, it enables comparisons across populations and health conditions. While it is unclear whether the 3-level EQ-5D is an appropriate measure to capture the effects of a genomic care model, having the data enables these comparisons.

There were decreases in average ICECAP-A scores after one month, followed by increases after 3 months. While the study was not sufficiently powered to assess these changes in terms of statistical significance, the results suggest that benefits of the care model may only accrue after a longer time period. This demonstrates the importance of choosing a suitable time-
horizon, especially when the intervention may have delayed benefits because of the need for patients to adjust to the diagnosis of an inherited condition.\textsuperscript{19}

To assess fidelity, checklists were completed by the clinicians who delivered the intervention. There was no incentive for an individual clinician to falsify the checklist as they were used to guide the next clinician who saw the patient in the clinic. This method also ensured that clinicians were reminded of the key deliverables of the care model. Our analysis showed that discussion points in the care model were not always addressed. This was not a pressing concern since clinicians were permitted to be flexible in tailoring discussions to the needs of the patient. However, it may have been useful to define minimum acceptable thresholds \textit{a priori} for the delivery of each discussion point, so that clinicians were aware of the importance of each element of the care model and to confirm fidelity. Fidelity was also assessed in video format by independent observers. While being recorded, it is possible that clinicians altered their behaviour in anticipation of being evaluated. This bias (often referred to as the Hawthorne effect) could be introduced whenever clinicians are observed, yet it was necessary to use an observer to confirm that fidelity was recorded accurately.

A further potential limitation of the study was that, despite the pre-post design, patients were recruited at baseline regardless of whether or not they were new to the service. This meant that some patients had previously accessed elements of the care model. Baseline results were therefore confounded by previous visits and may not allow for an accurate representation of the true effects of the care model. To capture the long
term benefits of the care model, where patients would start to receive the care model on their first visit, the recruitment of only new patients to a future study would be appropriate.

The care model was only delivered in one centre which may raise concerns over the external validity of the results. It is also possible that clinical geneticists could perform the same role as genetic counsellors in the delivery of the care model. By providing other centres with the care model in a replicable (manualised) format, it is expected that future results would be similar elsewhere.

In conclusion, this study provides evidence to support the fidelity of a standardised care model for patients with IRD in one centre. It is suggested that a future study should only recruit new patients to identify the impact of the new model of care. The ICECAP-A was shown to be potentially useful in this context. A genetics service specific measure was found to require some adaptation for use in a future study. The key items of resource use from the NHS perspective were identified. A larger sample size would be required to detect statistically significant changes in a definitive study. The relevant follow-up period for a study assessing the impact of a care model that focusses on achieving a genetic-based diagnosis should be sufficiently long and at least three months. The findings from this study can be used to inform the design of a future definitive study to assess the clinical and cost-effectiveness of a standardised care model for IRD within the context of mainstream ophthalmic care.
Ethics approval

The study was approved by NRES Committee North West – Haydock (Reference No 13/NW/0590).

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Competing interests

None of the authors have any financial conflicts of interest or competing interests to declare.

Author contributions

ND performed data cleaning, data analysis, and prepared the manuscript. KP was involved with study design, provided advice on data analysis, and contributed to the preparation of the manuscript. ME was involved with study design, data collection, data entry and reviewed the manuscript. MM was involved with study design and reviewed the manuscript. SR provided advice on data analysis and reviewed the manuscript. SI was involved with study design, data collection, and reviewed the manuscript. GB was involved with study design and contributed to the preparation of the manuscript. GH was involved with study design and contributed to the
preparation of the manuscript. All authors approved the final manuscript for submission.

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REFERENCES


FIGURE LEGENDS

Figure 1  A service flow of the integrated care model for inherited retinal dystrophies

<Figure 1>

Figure 2  Provision of the integrated care model for inherited retinal dystrophies

<Figure 2>

*Including examination, OCT, ERGs. †Including information on treatment and management.

CVI, Certificate of Vision Impairment; ERG, Electroretinogram; OCT, Optical Coherence Tomography; PIP, Personal Independence Payment; VI, Visual Impairment